



#55 TOPS  
3

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yogesh B. Gianchandani Date: February 7, 2001  
Chester G. Wilson

Serial No.: 09/686,259 Docket No.: 032026:0485

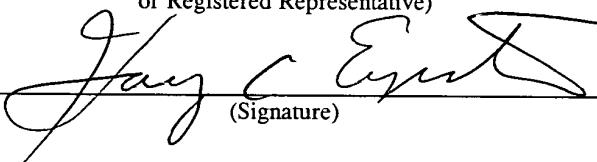
Filed: October 11, 2000 Group Art Unit: 1765

Title: **METHOD AND APPARATUS FOR ETCHING AND DEPOSITION  
USING MICRO-PLASMAS**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on February 7, 2001.

\_\_\_\_\_  
Harry C. Engstrom

(Name of applicant, assignee  
or Registered Representative)

  
\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
February 7, 2001

(Date of Signature)

**TRANSMITTAL OF MISSING PARTS OF  
APPLICATION UNDER 37 CFR § 1.53**

Box MISSING PARTS  
Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Dear Sir:

This is in response to the Notice to File Missing Parts of Application mailed on December 8, 2000, the time for response set to expire as of February 8, 2000.

Enclosed are the following items for filing in the above-referenced U.S. Patent Application.

1. Declaration and Power of Attorney executed by the applicants.
2. A copy of the Notice of December 8, 2000.
3. A check in the amount of \$833.00 to cover the filing fee and surcharge in the small entity amount. Small entity status is hereby requested for this application.
4. Information Disclosure Statement, PTO-1449 and cited documents.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1447. A duplicate copy of this sheet is enclosed.

Having now complied with all of the requirements of 37 C.F.R. § 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Respectfully Submitted,



Harry C. Engstrom, Reg. No. 26,876  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/686,259	10/11/2000	Yogesh B. Gianchandani	032026:0485

Harry C. Engstrom  
FOLEY & LARDNER  
150 East Gilman Street  
P.O. Box 1497  
Madison, WI 53701-1497



## FORMALITIES LETTER



Date Mailed: 12/08/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$826.
  - \$666 for 37 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## DOCKETED

BY

*Cal* DATE *12/27/00*

## TICKLER

BY

*JZ* DATE *12/29/00**Missing Parts*

- The balance due by applicant is \$ 1666.

02/16/2001 CHNGUYEN 00000075 09686259

01 FC:201	<u>355.00</u> <u>OP</u>
02 FC:202	<u>80.00</u> <u>OP</u>
03 FC:203	<u>333.00</u> <u>OP</u>
04 FC:205	<u>65.00</u> <u>OP</u>

*Copy of this notice MUST be returned with the reply.*

*Request Worldwide*  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE